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PTO/SB/05 (11-02)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9-13528-137US

First Inventor Valerie A. SIMPSON

Title OSPF BACKUP INTERFACE

Express Mail Label No.

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification [Total Pages 29] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]		b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul>
5. Oath or Declaration [ Total Pages 3 ] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))  <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s)            named in the prior application, see 37 CFR            1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>		c. <input type="checkbox"/> Statements verifying identity of above copies
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: .....		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	020988 <small>Entered Customer No. or Attach bar code label here</small>		or	<input type="checkbox"/> Correspondence address below
Name	Swabey Ogilvy Renault			
Address				
City	State		Zip Code	
Country	Telephone	613-230-6072	Fax	613-230-6706
Name (Print/Type)	Kent Daniels	Registration No. (Attorney/Agent)	44,206	
Signature	<i>K. Daniels</i>			Date 12 19 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 984)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Valerie A. SIMPSON
Examiner Name	
Group Art Unit	
Attorney Docket No.	9-13528-137US

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-5113

Deposit Account Name Swabey Ogilvy Renault

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272.  Payment Enclosed: Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity				Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 710	201 355	355	Utility filing fee	710	
106 320	206 160	160	Design filing fee		
107 490	207 245	245	Plant filing fee		
108 710	208 355	355	Reissue filing fee		
114 150	214 75	75	Provisional filing fee		

SUBTOTAL (1) (\$ 710.00)

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	33	-20** = 13	X 18 = 234
Independent Claims	3	- 3** =	X = 0
Multiple Dependent			= 0

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	9	Claims in excess of 20
102 80	202 40	40	Independent claims in excess of 3
104 270	204 135	135	Multiple dependent claim, if not paid
109 80	209 40	40	** Reissue independent claims over original patent
110 18	210 9	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 234)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kent Daniels	Registration No. (Attorney/Agent)	44,206	Telephone	613-230-6072
Signature	<i>K. Daniels</i>	Date	12 19 2000		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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JC853 U.S.P.T.O.  
09/739902  
12/20/00

# SWABEY OGILVY RENAULT

S.E.N.C.

## PATENT AND TRADE MARK AGENTS



Max R. Wood - Tel: (613) 230 6072 - Ext. 230

e-mail: mwood@ott.swabey.com

December 20, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231  
U.S.A.

Sir:

**Re: New United States Patent Application**  
**Title: OSPF BACKUP INTERFACE**  
**Inventor(s): Valerie A. SIMPSON, et al.**  
**Assignee: Nortel Networks Limited**  
**Our File: 9-13528-137US KD/bm**

Transmitted herewith for filing is the patent application of:

**INVENTOR(S): Valerie A. SIMPSON  
Dwight D. JAMIESON  
Nevein T. SULTAN**

### TITLE OF THE INVENTION:

OSPF BACKUP INTERFACE

The following documents are enclosed:

- a) Utility Patent Application Transmittal PTO/SB/05
- b) Specification/Claims/Abstract with Declaration/Power of Attorney
- c) Assignment in favor of Nortel Networks Limited with Recordation Form PTO-1619A

*Administrator*  
JAMES A. FRASER

ALAN SWABEY [1909-1990]  
MELVIN SHER [1935-1994]

A FIRM IN WHICH SWABEY, MITCHELL,  
HOULE, MARCOUX & SHER AND  
THE LAW FIRM OGILVY RENAULT  
ARE PARTNERS

The office is advised that inventors Dwight D. Jamieson and Nevein T. Sultan are presently away on vacation and therefore temporarily unavailable to sign the Declaration/Power of Attorney and Assignment documents. Their signatures will be provided as soon as possible.

MONTRÉAL OTTAWA TORONTO QUÉBEC

1981 MCGILL COLLEGE AVENUE, SUITE 1600, MONTRÉAL, QUÉBEC, CANADA H3A 2Y3

TELEPHONE (514) 845-7126 FACSIMILE (514) 288-8389

December 20, 2000

## d) Formal Drawings (2 Sheets - Figs. 1 &amp; 2)

e) Our cheque No. 006924, Patent Application Fee Determination Record PTO/SB/06 and Fee Transmittal Form PTO/SB/17, covering:

Filing Fee	\$ 710.00
Recording of Assignment	\$ 40.00
<b>Total Number of Claims: 33</b>	
Independent claims in excess of 3 (.. @ \$80)	\$
Claims in excess of 20 (13 @ \$18)	\$ <u>234.00</u>
Total	\$ 984.00

Nortel Networks Limited qualifies for large entity status

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to our Account No. 19-5113.

Please note that the address of the agents for the applicant in this matter should be the Montreal address identified in the enclosed Declaration/Power of Attorney. Correspondence may be directed to Kent Daniels at the Montreal address of Swabey Ogilvy Renault.

Respectfully submitted



Kent Daniels  
Reg. No. 44,206  
Agent of Record  
Encls.